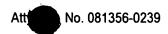
10/5302**07** JC06/Rec'd/PCT/PTO U4 APR 2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Mitsuo OSHIMURA et al.

Title:

HUMAN ARTIFICIAL CHROMOSOME (HAC) VECTOR

Int'l. Appl. No.:

PCT/JP2003/012734

Int'l. Filing

October 3, 2003

Date:

Examiner:

Unassigned

Art Unit:

Unassigned

UNDER 37 CFR §1.56

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form 1449B/PTO is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

AV

RELEVANCE OF EACH DOCUMENT

The documents being cited in the attached PTO/SB/08 were cited as being relevant during the prosecution of the corresponding international application. A copy of the International Search Report is attached setting forth the portion of the documents considered relevant by the examiner. A copy of the cited references listed in the Search Report is not being submitted herewith.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form 1449B/PTO be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date _

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Attorney for Applicant Registration No. 29,768

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081356-0239

MODIFIED PTO/SB/08 (08-00)

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Date Submitted: April 4, 2005

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	A1	International Search Report of PCT/JP03/12734.	
	A2	Y. KUROIWA et al., "Efficient modification of a human chromosome by telomere-directed truncation in high homologous recombination-proficient chicken DT40 cells", Nucleic Acids. Res. July 15, 1998, Vol. 26, No. 14, pp. 3447-3448.	
	A3	Y KUROIWA et al., "Manipulation of human minichromosomes to carry greater than megabase-sized chromosome inserts":, Nat. Biotechnol., October 2000, Vol. 18, No. 10, pp. 1086-1090.	
	A4	Y. KUROIWA et al., "Cloned transchromosomic calves producing human immunoglobin", Nat. Biotechnol. September 2002, Vol. 20, No. 9, pp. 889-894.	
·	A5	W. MILLS et al., "Generation of an approximately 2.4 Mb human X centromere-based minichromosome by targeted telomere-associated chromosome fragmentation in DT40", Hum. Mol. Genet., May 1999, Vol. 8, No. 5, pp. 751-761.	
	A6	Z. LARIN et al., "Advances in human artificial chromosome technology", Trends. Genet., June 2002, Vol. 18, No. 6, pp. 313-319.	
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. :	A12	JM. ROBL et al., "Artificial chromosome vectors and expression of complex proteins in transgenic animals", Theriogenology, Ianuary 1, 2003, Vol. 59, No. 1, pp. 107-113.	

Examiner Signature	Date Considered	

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